

UNIVERSITY OF ULSTER POLICY SCREENING PRO FORMA

What is the Policy? (Name/Description of the Policy)

Electronic Information Assurance Policy

What is the aim, objective or purpose of the Policy?

Information is essential to the governance, management, administration and operation of the University, and the security and effective control of information is fundamental to its success.

Information Security, which is more generally referred to as Information Assurance, is founded on three major concepts - those of Confidentiality, Integrity and Availability. The goal of this policy, and the standards and procedures that support it, is to remove or reduce the risk of threats and vulnerabilities which may compromise the use of the University's information assets.

Who defines or defined the Policy and who implements it?

The policy has been defined by the Information Services Directorate.

Ultimate responsibility for the execution of this policy rests with the Vice-Chancellor of the University. The Information Services Governance and Strategy Committee (ISG&SC) is responsible for the creation and approval of this Policy's Implementation Framework and for oversight of its implementation and performance.

The responsibility and accountability for Information Assurance of specific information assets shall lie with the relevant senior officer of the department which is identified as the information asset owner.

The Policy will be implemented and supported by the introduction of new operational and technical Standards, Procedures, and Guidelines, defined within the Policy Implementation Framework.

Is the Policy applied uniformly throughout the University? Yes X No

If “no”, what are the consequences in terms of the screening process?

Who are the stakeholders in relation to this Policy, e.g. DEL, UCAS?

The Senior Management Group of the University

How do we interface with other bodies in relation to implementation of this Policy?

Not applicable

What data are available to facilitate the screening of this Policy?

No data has been collected, as yet in relation to the implementation of this policy. The information Audit which will be conducted to support its implementation will be available and will be an inventory of the University’s information assets.

1. Is there any evidence of higher or lower participation or uptake by the following characteristics?

	Yes	No	Not Known
Religious Belief		X	
Political Opinion		X	
Racial Group		X	
Age		X	
Marital Status		X	
Sexual Orientation		X	
Gender		X	
Disability		X	
Dependants		X	

Note: A broad interpretation should be taken of the word 'evidence'. It should include anecdotal evidence and evidence derived from qualitative analysis or quantitative analysis where available.

Please comment:

The policy is essential for the University to protect it's information assets. As such this policy applies to all groups. It is unlikely that there will be groups who have a higher participation rate.

2. Is there any evidence that different groups have difference needs, experiences, issues and priorities in relation to this Policy?

	Yes	No	Not Known
Religious Belief		X	
Political Opinion		X	
Racial Group		X	
Age		X	
Marital Status		X	
Sexual Orientation		X	
Gender		X	
Disability		X	
Dependants		X	

Note: A broad interpretation should be taken of the word 'evidence'. It should include anecdotal evidence and evidence derived from qualitative analysis or quantitative analysis where available.

Please comment:

There is no evidence that any of the Section 75 categories have different needs, experiences, issues or priorities; or that the policy will have an adverse impact on equality of opportunity for any section 75 groups.

3. Have consultations with relevant groups, organisation or individuals indicated that policies of this type create problems specific to them?

	Yes	No	Not Known
Religious Belief			X
Political Opinion			X
Racial Group			X
Age			X
Marital Status			X
Sexual Orientation			X
Gender			X
Disability			X
Dependants			X

Note: A broad interpretation should be taken of the word 'evidence'. It should include anecdotal evidence and evidence derived from qualitative analysis or quantitative analysis where available.

Please comment:

Not aware of any consultations or problems for any groups in relation to this type of policy.

4. Is there an opportunity to promote equality of opportunity or community relations better by altering the Policy, or by working with others, in Government, or in the larger community in the context of this Policy?

Yes No

Please elaborate:

5. Does the Policy discourage disabled people from participating in public life and fail to promote positive attitudes towards disabled people?

Yes No

Please elaborate:

6. Does the Policy provide an opportunity to better promote positive attitudes towards disabled people or encourage their participation in University life?

Yes No

Please elaborate:

7. If the answer to any of the questions in 1 to 6 is yes, please indicate whether you consider the Policy may significantly impact on the University's obligation to have due regard to the need to promote equality of opportunity.

Please elaborate:

Not applicable

8. What data are required in the future to ensure effective monitoring?

University Information Asset inventory

9. In the context of question 3, are there any relevant groups which you believe should be consulted? Please specify.

None known at this time

10. Please indicate whether a full impact assessment is recommended.

Yes No

Please elaborate:

There is no evidence that any of the Section 75 categories have different needs, experiences, issues or priorities; or that the policy will have an adverse impact on equality of opportunity for any s75 groups.

POLICY Electronic Information Assurance Policy

Timetable for impact assessment

- a. On a scale of 1-5, 1 being the highest priority and 5 being the lowest, assess the Policy in terms of priorities for impact assessment.

	1 - 5
Social Need	5
Effect on people's daily lives	2
Effect on economic, social and human rights	5

Please indicate whether you think this policy should be reviewed in year 1,2,3,4 or 5.

Year 2

- b. Is the Policy affected by a strategic planning documents e.g. Agenda for Action, Institutional Plan, Strategic Development Plan?

~~Yes~~ No

Please elaborate

- c. Is the Policy affected by the time table established by other relevant public authorities or organisations in relation to common functions?

~~Yes~~ No

Please elaborate

- d. What is the scale of expenditure incurred by the Policy?

£25k - £250k