

	TASK	FINAL AUTHORITY/ APPROVAL	SCRUTINY/ RECOMMENDATION	SLT LEAD OFFICER	CONTEXT, PROCESS AND DELIVERY	£ LIMITS
<b>5.</b>	<b>CAPITAL PROJECTS AND ESTATES</b>					
5.1	Approval of the Estate Masterplan (Infrastructure Capital Plan)	Council	Resources Committee	Chief Strategy and Finance Officer	Resources Committee to consider and endorse the Estate Masterplan (Infrastructure Capital Plan) prior to recommending this to Council for approval.	
5.2	Acquisition, construction, leasing, refurbishment and disposal of buildings (and acquisition and disposal of land)	Council (above agreed limits)	Council >£5m Vice-Chancellor or Chief Strategy and Finance Officer £2-£5m Director of Estates <£2m Limits to be based on value of completed project.	Chief Strategy and Finance Officer	Council to approve the acquisition, construction, leasing, refurbishment and disposal of buildings (and acquisition and disposal of land) within Estate Strategy.  Council approval required above agreed limits. Approval mechanisms in relation to activity within the Estate Strategy to be determined by the level of associated spend.  All business cases receiving DfE capital funding require DfE approval.  All activity over £5m requires SIB approval.	Council >£5m VC or CSFO £2-£5m Director of Estates <£2m
5.3	Nomination Agreements (Agreements between the University and private sector student accommodation providers)	Council	Resources Committee	University Provost	Council/Committee approval for the signature of Nomination Agreements required above agreed limits.  Approval mechanisms in relation to arrangements to be based on the total cost to the University of the agreement over the lifetime of the contract.	Council >£10m VC £5-£10m CSFO £2-£5m Director of Estates <£2m

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5.4	Approval of building and other estate related contracts	Chief Strategy and Finance Officer	Chief Strategy and Finance Officer >£5m Director of Estates <£5m Limits to be based on value of completed project.	Chief Strategy and Finance Officer	Director of Estates leads the process. Chief Strategy and Finance Officer approves the Estates Services Budget. All business cases receiving DfE capital funding require DfE approval.	
5.5	Greater Belfast Development	Council	Belfast Campus Development Committee	Vice-Chancellor	Council to approve the necessary approaches to achieve practical and financial completion of the wider GBD project, informed by appropriate recommendations from the Belfast Campus Development Committee. GBD Project to operate within the original funding envelope approved by Council/Resources Committee. Any deviation to be reported to Council/Resources Committee as appropriate.	

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5.6	Approval of increase in approved budget for capital projects	Council/ Resources Committee	Increases up to 10% of original cost or under £5m  Vice-Chancellor £2-5m  Chief Strategy and Finance Officer £0.5m-£2m  Director of Estates <£0.5m  Increases over 10% of original cost as outlined in the approved Business Case or over £5m to be approved by the body with authority to approve total value of scheme (Council/Resources Committee).	Chief Strategy and Finance Officer	Council/Resources Committee to approve increases in approved budget for capital projects.  Approval mechanisms in relation to activity to be determined by the level of associated spend.  Chief Strategy and Finance Officer and Director of Estates to advise in relation to affordability.  Resources Committee to be advised where increases in cost are detrimental to other institutional priorities	
5.7	Approval of City Deals and Special Capital Projects	Council	City Deals and Special Projects Committee / Resources Committee	Chief Strategy and Finance Officer	City Deals and Special Projects Committee to consider projects prior to recommendation and approval to Resources Committee and Council, if required. Consideration to be via Strategic Outline Cases (SOCs) and Outline Business Cases (OBCs).  If a project is not approved, this should be reported to Resources Committee and Council via the minutes.  Council approval required above agreed limits.  Approval mechanisms/effective limits in relation to activity to be determined by the level and nature of associated Ulster University Capital Contribution.  Once approved, projects to be covered by the requirements in Section 5.2 to 5.5.	Council >£5m  VC or CSFO £2-£5m  Director of Estates <£2m

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5.8	Oversight, monitoring and successful implementation of all approved City Deals and Special Capital Projects and post project reviews/evaluations (including the effective monitoring of performance, outcomes, budget, risks and other issues relating to the Project)	Resources Committee	City Deals and Special Projects Committee	Chief Strategy and Finance Officer	<p>City Deals and Special Projects Committee to oversee, monitor and support the successful implementation of all approved Projects and post project reviews/evaluations.</p> <p>Project Leads to escalate and seek advice through City Deals and Special Projects Committee and Audit and Risk Committee should major issues arise.</p> <p>Projects to operate within the original funding envelope approved by Council/Resources Committee. Any deviation to be reported to Council/Resources Committee as appropriate.</p>	
5.9	Provision and maintenance of buildings, premises and grounds	Chief Strategy and Finance Officer	Director of Estates	Chief Strategy and Finance Officer	<p>Chief Strategy and Finance Officer to oversee the procurement processes through which contracts to deliver and maintain buildings and premises are approved.</p> <p>Each project to be reviewed and approved by the Heads of Sections within Estates Services.</p>	
5.10	Consultant Framework Agreements and Appointments	Chief Strategy and Finance Officer	Deputy Director of Estates Services/Head of Planning and Development	Chief Strategy and Finance Officer	<p>Contracts are led by Estates Services and appointments approved by the Deputy Director of Estates Services or the Head of Planning and Development.</p> <p>Framework agreements are approved by the Director of Estates.</p>	
5.11	Naming of University buildings and spaces	Vice-Chancellor	Vice-Chancellor	University Provost	<p>Vice-Chancellor to approve naming decisions, based on a recommendation brought forward to the Senior Leadership Team from the Provost.</p> <p>Vice-Chancellor to escalate where there is potential for reputational damage.</p> <p>Where approved, the agreed name to be notified prior to any announcement of the name/to the next available meeting of Council.</p>	